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*A Topological Perspective of*  
**COSMOLOGY**

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<http://www.cartan.pair.com>

<http://www22.pair.com/csdcc/pdf/cosmos.pdf>

# Topological Cosmology in 3 Parts



- 1 Topological Thermodynamics** Space - Time as a turbulent non-equilibrium dilute gas near its critical point, of Pfaff topological dimension 4.
- 2 Topological Evolution** to produce stars and galaxies as topological defects of Pfaff dimension 3.
- 3 Topological Defects** Falaco solitons are examples of topological defects that resemble Cosmic strings in a swimming pool.

# Topological Cosmology

Lev Landau 1958

- **A low density van der Waals gas near its critical point exhibits large fluctuations in density.**
- **The density fluctuations are correlated and indicate a force of attractive interaction equivalent to the law of Newtonian gravity.**

# Topological Cosmology

Conjectures RMK 1965

- **The Universe is a dilute gas or plasma near its critical point.**
- **The large fluctuations in density form topological defects of Pfaff dimension 3, called stars and galaxies.**
- **The density defects attract as  $1/r^2$ .**

# Topological Cosmology

Conjectures from Topological Thermodynamics RMK 2003



- The Universe is a dilute TURBULENT gas or plasma, **NOT in EQUILIBRIUM** due to expansion, of Pfaff Topological Dimension **4**, near its critical point.
- The large fluctuations in density are the equivalent to the creation, by irreversible topological evolution, of defects of Pfaff Topological Dimension **3**. The condensation defects, are called stars and galaxies.
- The density defects attract as  $1/r^2$ .

# Topological Cosmology

From Topological Thermodynamics RMK 2003



- The thermodynamics of a non-equilibrium, turbulent, dissipative system of Pfaff Topological Dimension 4, explains

- the granularity of the night sky,
- the  $1/r^2$  gravitation attraction,
- the expansion of the Universe,

creating stars and galaxies by formation of topological defects as “stationary” states of Pfaff topological dimension 3, far from equilibrium (which requires Pfaff dimension 2).

# Topological Cosmology

Based on Topological Thermodynamics RMK 2003



- A Neat Theory, but WHY? Must show:
- **That universal topological features of any 4 dimensional space-time system can be homeomorphic to a van der Waals gas.**
- **That irreversible topological evolution can create topological defects (stars) that are long lived stationary states far from Equilibrium.**

# Part 1: **Topological Thermodynamics**



**The objective is to show that**

**Universal topological features of a 4 dimensional space-time system exhibit homeomorphic properties equivalent to a**

**van der Waals gas.**

# Topological Thermodynamics



- **Topological Structure of Physical Systems** is encoded in an Action differential 1-form  $A$ .
- **Physical Processes** can be defined in terms of contravariant vector direction fields,  $V$ .
- **Continuous Topological Evolution** is encoded by Cartan's magic formula :

$$L_{(V)}A = i(V)dA + di(V)A$$

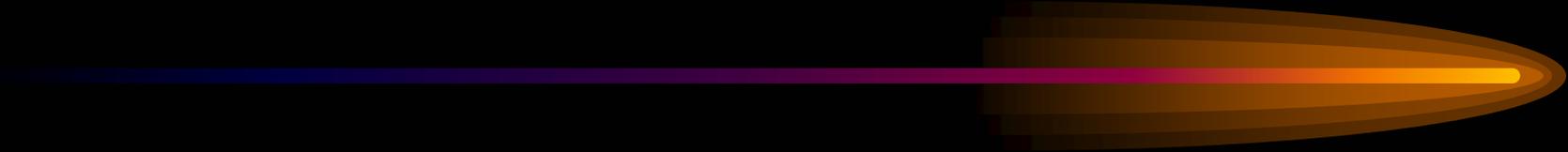
( $L_{(V)}A$  is the Lie differential with respect to the direction field  $V$  acting on the 1-form  $A$ )

# Topological Thermodynamics



- **Open** Thermodynamic System:  
Pfaff Topological Dimension 4
- **Closed** Thermodynamic System  
Pfaff Topological Dimension 3
- **Isolated-Equilibrium** Thermodynamic  
System Pfaff Topological Dimension 2  
*(Pfaff Topological Dimension > 2 implies non-equilibrium)*

# Topological Thermodynamics



- Consider **ANY** vector field  $V$  with a Jacobian matrix of rank (topological dimension) 4.
- The Cayley-Hamilton theorem creates a characteristic polynomial of 4th degree describing a non-equilibrium open system. The result is:
- **A Universal Thermodynamic Phase Function**

$$\Theta = \Psi^4 - M \Psi^3 + G \Psi^2 - A \Psi + K \Rightarrow 0$$

# Topological Thermodynamics



- **The Universal Topological Phase Function** generated by a non-singular Jacobian matrix is holomorphic in the complex variable  $\Psi$ .
- **THEN**, from a theorem of Sophus Lie, **The Universal Phase Function creates:**

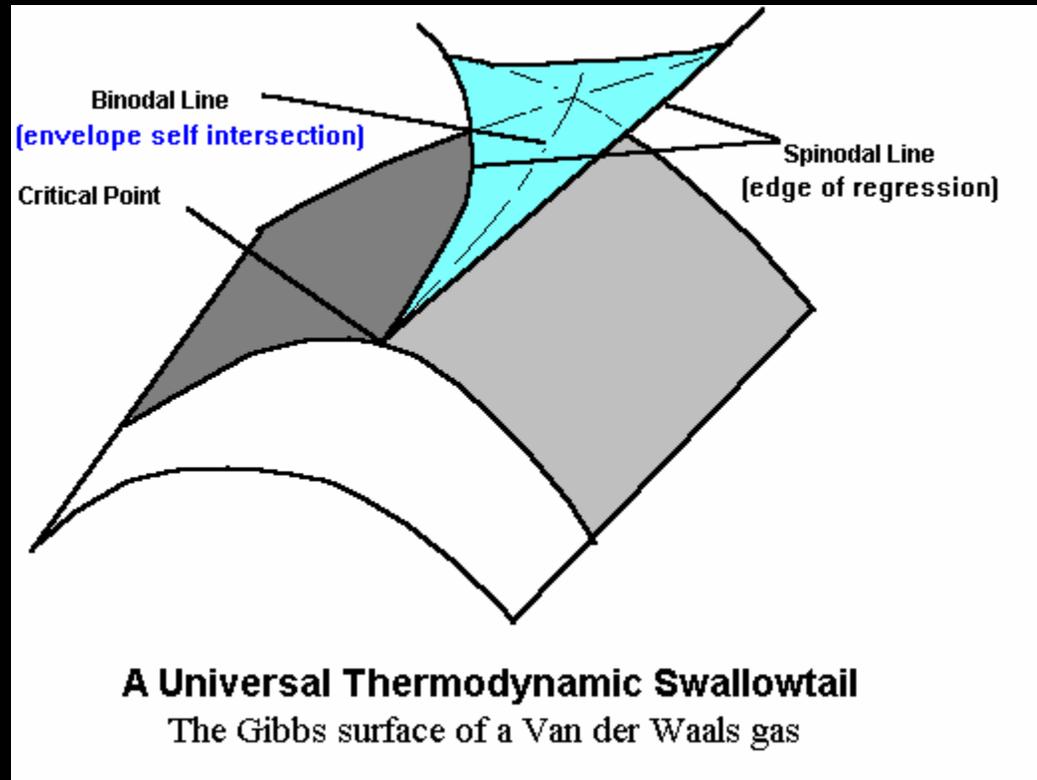
**Conjugate Minimal Surfaces in 4D.**

# Topological Thermodynamics



- The **UNIVERSAL TOPOLOGICAL PHASE** function supports an envelope, which when constrained to a minimal surface ( $M = 0$ ), generates the Swallow-Tail bifurcation set. The result is that the **UNIVERSAL minimal surface ENVELOPE** is homeomorphic to the:  
**Gibbs Surface of a van der Waals gas**

# Topological Thermodynamics



# Topological Thermodynamics



- The determinant of the Jacobian matrix is given by the similarity coefficient  $K$ .

$$K = \Psi^4 - M \Psi^3 + G \Psi^2 - A \Psi$$

- Every determinant can be related to the divergence of a current. Hence

$$\text{div}J + \partial\rho/\partial t = \Psi(\Psi^3 - M \Psi^2 + G \Psi - A)$$

**A Universal Landau Ginsburg format**

# Topological Thermodynamics



- If  $K = 0$ , the Jacobian matrix is singular.
  - The Pfaff topological dimension  $\Rightarrow 3$ .

$$K = \Psi(\Psi^3 - M\Psi^2 + G\Psi - A) \Rightarrow 0$$

- The **singular** UNIVERSAL Phase function becomes homeomorphic to the cubic equation of state for a

**Universal van der Waals gas!**

# *Conclusions: Part 1*



- If the **Universe** is of Topological Dimension 4, it will exhibit the features of a

**non-equilibrium, turbulent**

**van der Waals Gas**

undergoing irreversible topological evolution to produce stars and galaxies.

# *Conclusions: Part 1*



- The thermodynamics of a non-equilibrium, turbulent, dissipative system of Pfaff Topological Dimension 4, explains,
  - **the granularity of the night sky,**
  - **the  $1/r^2$  gravitational attraction,**
  - **the expansion of the Universe,**creating stars and galaxies by formation of topological defects as “stationary” states of Pfaff topological dimension 3, far from equilibrium (which requires Pfaff dimension 2).

# *COSMOLOGICAL notes*



- It is important to realize that cosmology from a topological perspective does not depend upon metric, connection, scales, equilibrium statistics, gauge symmetries, or quantum hypotheses !!!

- **WARNING**

- **The failure of a symmetric METRIC approach**

A symmetric METRIC matrix has no complex eigenvalues. Such matrices can not represent a **non-equilibrium** thermodynamic system.

# Part 2: Topological Evolution



**The objective is to show that**

**Continuous irreversible topological evolution can create topological defects (stars) from a dissipative turbulent background. These topological defects are long lived**

**Stationary States far from Equilibrium.**

# Irreversible Decay from 4D to Topological Defects in 3D



**Continuous Topological Evolution** can

describe the irreversible evolution on an

**“Open”** symplectic domain of Pfaff dimension **4**, with evolutionary orbits being irreversibly attracted to a

**“Closed”** contact domain of Pfaff dimension **3**, with topological defects (stationary states), and a possible ultimate decay to the

**“Isolated-Equilibrium”** domain of Pfaff dimension **2** or less (integrable Caratheodory surface).

# Basic Ideas of Topology Evolution



- **Properties that are independent from size and shape are topological properties. Such objects are deformation invariants.**
- **Topological evolution can take place continuously or discontinuously.**
- **Cutting is a discontinuous process. Pasting is a continuous process.**

# Simple Topological Examples



- **A condensed fluid is a thermodynamic phase with a connected components.**
- **A vapor is a thermodynamic phase with disconnected components.**
- **A change of phase implies a change of topology. Condensation is continuous. Vaporization is discontinuous.**

# Pfaff Topological Dimension



A physical system represented by a 1-form of Action, **A**, has a minimum number of functions required for its topological definition. This number,

**PTD = Pfaff Topological Dimension,**

is equal or less than the geometrical dimension **N** of the domain of support. The **PTD** is also equal to the number of non-zero terms in the

**Pfaff Sequence = {A, dA, A^dA, dA^dA...}.**

Subspaces of lesser PTD form coherent topological structures, defects, or thermodynamic phases.

# Pfaff Topological Dimension



A physical system for which the 1-forms of Action, **A**, of Work, **W**, and Heat, **Q**, are all of PTD = 4 defines a dissipative, non-equilibrium turbulent system.

The Jacobian matrix of **A** has a characteristic polynomial with 4 non-zero roots. As shown in Part 1, the universal phase functions is homeomorphic to a

**van der Waals gas.**

# Connectivity and the Arrow of time



Regions where **PTD**  $\leq 2$  generate a **connected** topology;

**PTD**  $\geq 3$  generate a **disconnected** topology.

Continuous Processes can represent the evolution from a **disconnected** topology ( $\geq 3$ ) to a **connected** topology ( $\leq 2$ ).

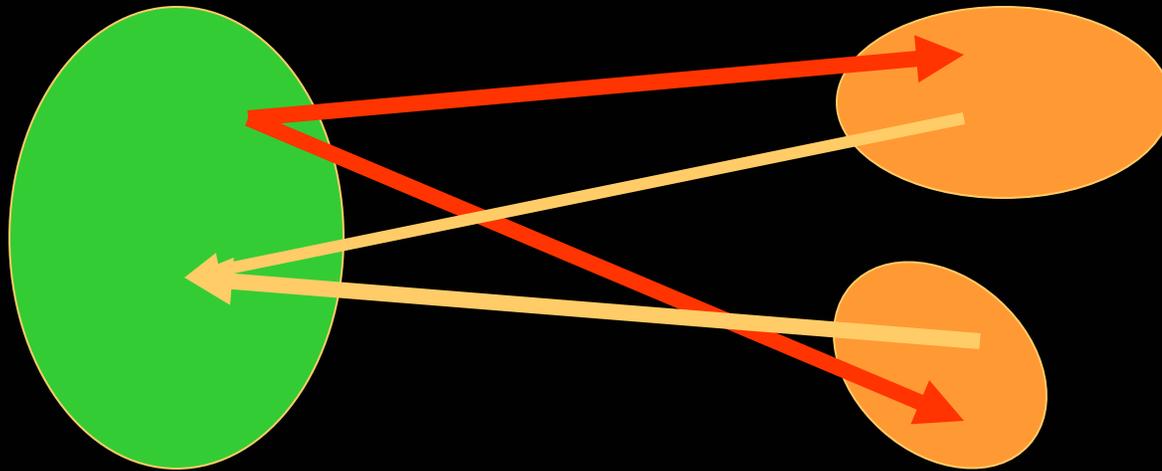
Continuous Processes can **NOT** represent the evolution from a **connected** topology ( $\leq 2$ ) to a **disconnected** topology ( $\geq 3$ ).

**(An Arrow of Time.)**

You can describe the decay of turbulence continuously, but **NOT** the creation of turbulence.

# Arrow of Time and Turbulence

Creation of Turbulence is a Discontinuous Process



Decay of Turbulence is a Continuous Process

**Streamline Flow**

Connected Topology  $D_{\text{pfaff}} \leq 2$

**Turbulent Flow**

Disconnected Topology  $D_{\text{pfaff}} > 3$

Emphasis on

# Continuous Topological Evolution



- Continuous Evolution can change Topology.
- An arrow of time and thermodynamic irreversibility require **Topological Change**.
- **Exterior Differential Forms**, unlike tensors, are functionally well behaved - with respect to those  $C^1$  maps which are neither diffeomorphisms nor homeomorphisms.
  - Hence Cartan's methods can be used to describe **Continuous Topological Evolution**.

# Objectives of CTE

(Continuous Topological Evolution)



- Establish the long sought for connection between **Irreversible Thermodynamics** and **Dynamical Systems** -- without Statistics!
- Demonstrate the connection between **Thermodynamic Irreversibility** and **Topological (Pfaff) Dimension 4**.

# Theorems of CTE



- **Topological evolution** is a necessary condition for both time asymmetry and thermodynamic irreversibility
- **A unique extremal direction field** which represents a conservative reversible Hamiltonian process always exists on subspaces of topological dimension  **$2n+1$** .
- **A unique torsion direction field** which represents a thermodynamically irreversible process always exists on subspaces of even topological dimension  **$2n+2$** .

# Cartan's Magic Formula

Define the exterior differential forms:

$$\text{Work} = W = i(V)dA, \quad \text{Energy} = U = i(V)A, \quad \text{Heat} = Q.$$

Then Cartan's Magic Formula of CTE,

$$L_{(V)}A = i(V)dA + di(V)A = Q,$$

becomes the First Law of Thermodynamics,

$$L_{(V)}A = W + dU = Q,$$

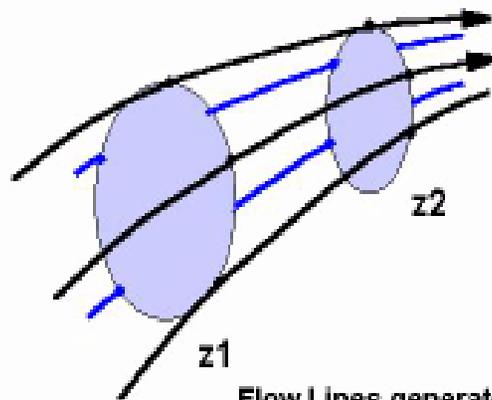
connecting Dynamical Systems and Thermodynamics.

( $L_{(V)}A$  is the Lie differential with respect to the direction field  $V$  acting on the 1-form  $A$ )

# Cartan's Magic Formula

Cartan used an integral version of his Lie derivative formula - in terms of hydrodynamic flow along a tube of trajectories - to prove that all Hamiltonian processes preserve the closed integrals of Action, and conversely.

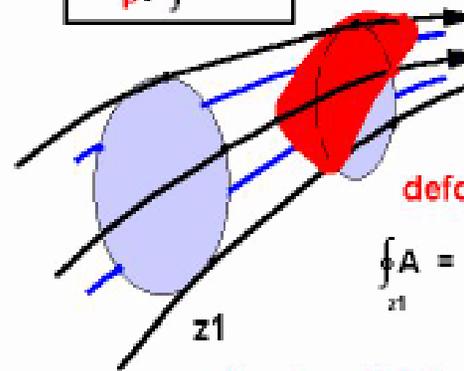
Cartan's Tubes of Trajectories 1922



Flow Lines generated by  $V$

Deformation Invariants = Topological Properties

$$\mathcal{L}_{\beta V} \oint A = 0$$



deformed  $z_2$

$$\oint_{z_1} A = \oint_{z_2} A = \oint_{\text{deformed } z_2} A$$

Flow Lines  $V$  deformed by  $\beta V$  (any  $\beta$ )

# Thermodynamic Processes page 1



- **Classical Thermodynamics:**

A Process acting on a Physical System that creates a 1-form of Heat  $Q$ , is an irreversible process unless  $Q$  admits an integrating factor.

- **Frobenius Theorem:**

An integrating factor exists iff  $Q \wedge dQ = 0$ .  
(Pfaff dimension of  $Q < 3$ .)

# Thermodynamic Processes page 2



For a Process  $V$  acting on a Physical System represented by a 1-form of Action,  $A$ :

A Dynamical Test for a Reversible Process is

$$L_{(V)}A \wedge L_{(V)}dA = Q \wedge dQ = 0.$$

A Dynamical Test for an Irreversible Process is

$$L_{(V)}A \wedge L_{(V)}dA = Q \wedge dQ \neq 0.$$

( $Q \wedge dQ = 0$  implies a Pfaff dimension of  $<3$ ;  $Q \wedge dQ \neq 0$  implies a Pfaff dimension of 3 or more.)

# Thermodynamic Processes page 3



All classic Hamiltonian, Symplectic, Bernoulli and Stokes Processes, satisfy the Helmholtz-Poincare constraint (“conservation of vorticity”).

$$L_{(v)} dA = dQ = 0.$$

and are therefore

**Thermodynamically Reversible.**

as

$$L_{(v)} A \wedge L_{(v)} dA = Q \wedge dQ = 0.$$

# Thermodynamic Processes page 4



When  $dQ = 0$ , then  $dW = 0$ , and the first law becomes a precise statement of deRham cohomology:

The difference between the closed but not exact 1-form of heat,  $Q$ , and the closed but not exact 1-form of work,  $W$ , is an exact differential of the internal energy,  $dU$ .

$$Q - W = dU$$

**Thermodynamics is a Topological Theory !**

# Thermodynamic Processes page 5



R. Bott “..deRham cohomology ignores **Torsion.**”

deRham cohomology is Thermodynamically  
**REVERSIBLE.**

**TORSION** is the source of  
**Thermodynamic IRREVERSIBILITY**

Riemannian metric Geometry is Torsion Free, and  
**CANNOT** describe **IRREVERSIBILITY.**

# Contact Manifolds, $n = 2k+1$ .



On subspaces of Pfaff dimension  $n = 2k + 1 \leq m$ , called contact manifolds, the Principle of Least Action implies that the evolution obeys the Helmholtz-Poincare constraint (“conservation of vorticity”)

$$L_{(\mathbf{V})}d\mathbf{A} = dQ = 0.$$

and all such evolutionary processes are therefore

**Thermodynamically Reversible.**

A unique direction field,  $\mathbf{V}$ , completely determined by the topological features of the Action,  $\mathbf{A}$ , of odd Pfaff dimension, such that  $W = i(\mathbf{V})d\mathbf{A}=0$  is called the **Extremal Field**, and if  $U = i(\mathbf{V})\mathbf{A} = 1$ , a **Reeb** field.

# Symplectic Manifolds $n = 2k+2$ .

On subspaces of Pfaff dimension  $n = 2k + 2 \leq m$ , called symplectic manifolds, extremal fields do not exist. However, a unique direction field  $T$  can be defined in terms of the topological features of the physical system,  $A$ :

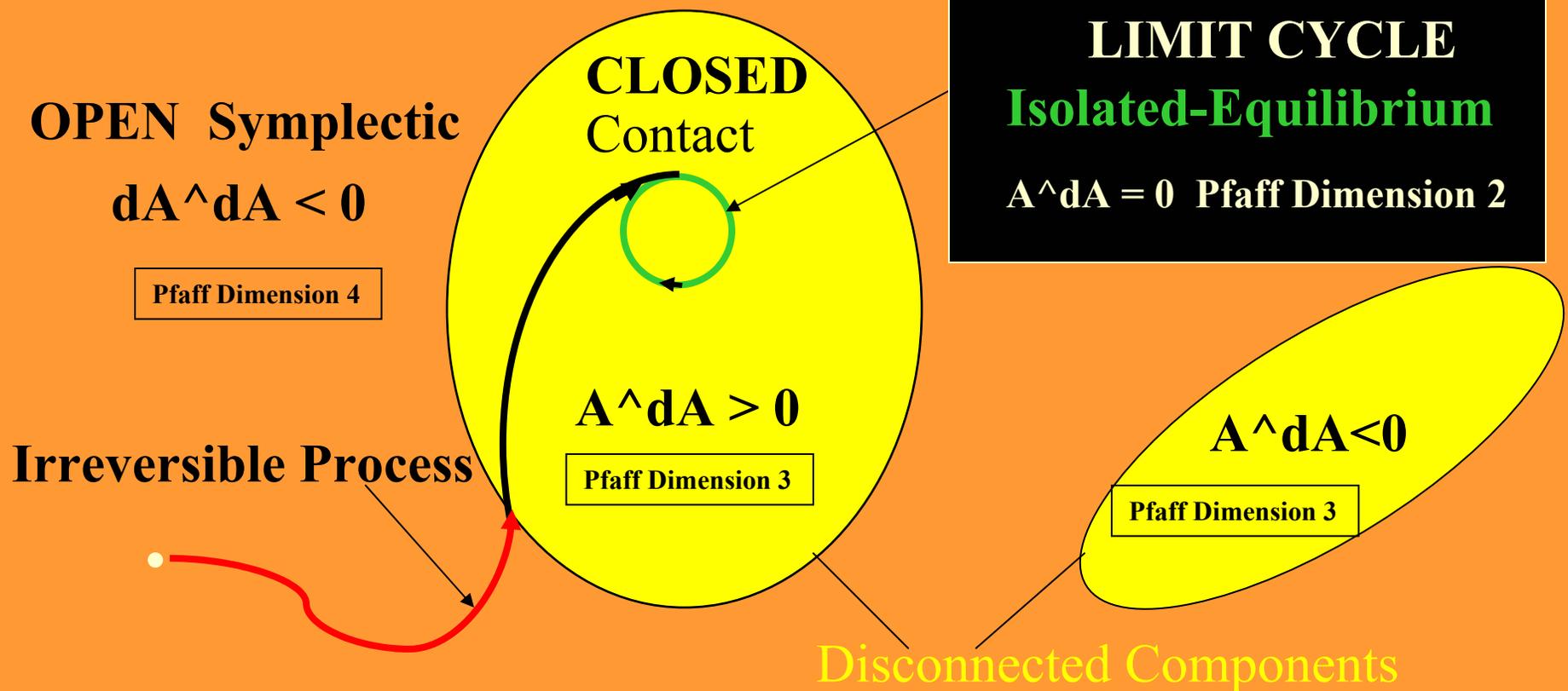
$$i(T)\{dx \wedge dy \wedge dz \wedge dt\} = A \wedge dA.$$

Processes in the direction of the Torsion Vector,  $T$ , are Thermodynamically Irreversible, as

$$L_{(T)} A \wedge L_{(T)} dA = Q \wedge dQ = \Gamma^2 A \wedge dA \neq 0.$$

Irreversible Decay on a **Symplectic Manifold** (PTD=4)  
to a **Contact Manifold** (PTD=3)  
of disconnected components, then possibly to an  
**Isolated-Equilibrium** (PTD = 2) State.

## Turbulent Non-Equilibrium Pfaff dimension 4



# Lagrangian Example



Evolution starts on the  $2k+2$  symplectic manifold with orbits being attracted to  $2k+1$  domains where the momenta become canonical:  $\mathbf{p} - \partial\mathbf{L}/\partial\mathbf{v} \Rightarrow \mathbf{0}$ .

- Topological evolution can either continue to reduce the Pfaff topological dimension, **or**
- the process on the **Contact  $2k+1$**  manifold can become “extremal”, and the topological change stops.

The resulting contact manifold becomes a “stationary” non-dissipating Hamiltonian state,

**“Far from Equilibrium”.**

# The Sliding - Rolling Ball page 1

**Consider a bowling ball with initial translational and rotational energy, thrown to the floor of the bowling alley.**

**Initially the ball skids or slips on a  $2k + 2$  symplectic manifold irreversibly reducing its energy and angular momentum via “friction” forces.**

**From arbitrary initial conditions, the evolution is attracted to a  $2k + 1$  contact manifold, where the ball rolls without slipping, and the anholonomic constraint vanishes.**

$$\mathbf{dx} - \lambda \mathbf{d}\Theta = 0$$

# The Sliding - Rolling Ball page 2

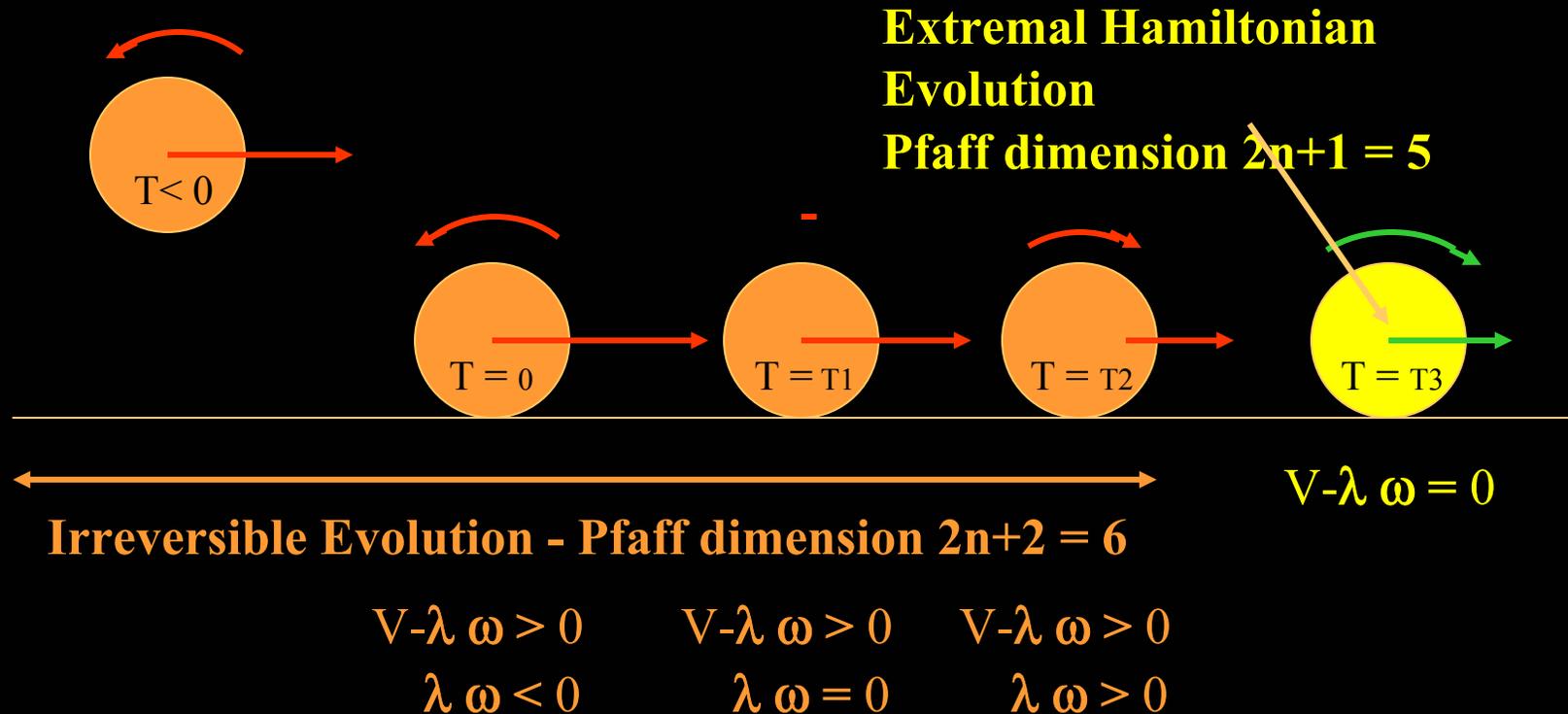


The subsequent motion, neglecting air resistance, continues in a Hamiltonian manner without change of Kinetic Energy or Angular Momentum.

The 1-form of Action can be written as:

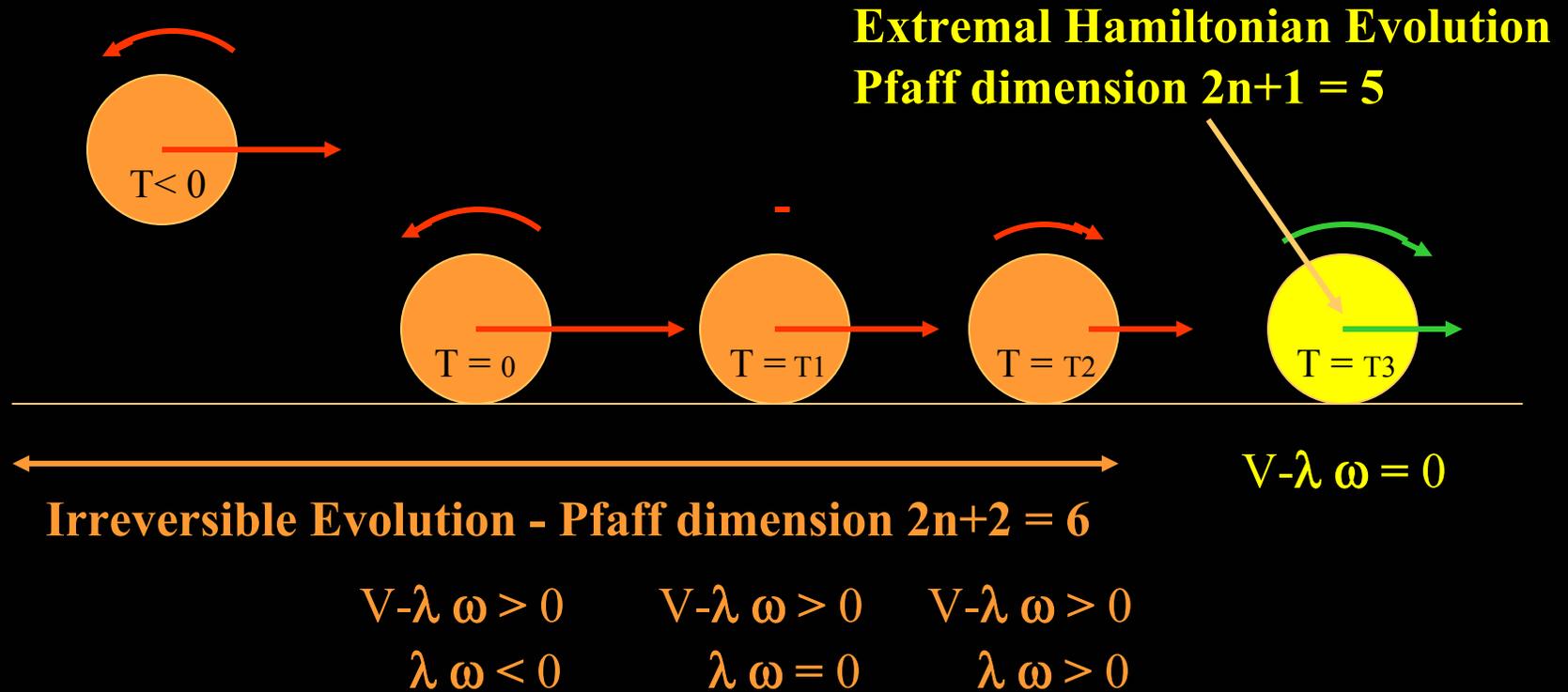
$$A = L(t, x, \Theta, v, \omega) dt + \dots + s \cdot (dx - \lambda d\Theta)$$

# The Sliding - Rolling Ball page 3



**Note how friction changes Angular Momentum**

# The Sliding - Rolling Ball page 3



**Note how friction changes Angular Momentum**

# Summary



- **Without Topological Evolution, there is no Arrow of Time and no Thermodynamic Irreversibility.**
- **Physical Systems of Pfaff dimension 4 generate a unique continuous evolutionary process which is thermodynamically irreversible.**
- **Cartan's Magic formula combines continuous topological evolution and thermodynamics**

# Part 3 Falaco Solitons

RMK 1986



Are

- **Falaco Solitons**

(created in a Swimming Pool)

related to

**Cosmological Strings  
and Topological Defects**

# Falaco Solitons 1986

## Topological Defects in a swimming pool



# History of Falaco Solitons

- **1986 visit to Rio de Janeiro and the mountain side house of my MIT roommate, Jose Haraldo H. Falcao.**



- **On the mountain side above the beach**

# History of Falaco Solitons

- **1986 visit to Rio de Janeiro at the house of my MIT roommate, Jose Haraldo H. Falcao.**



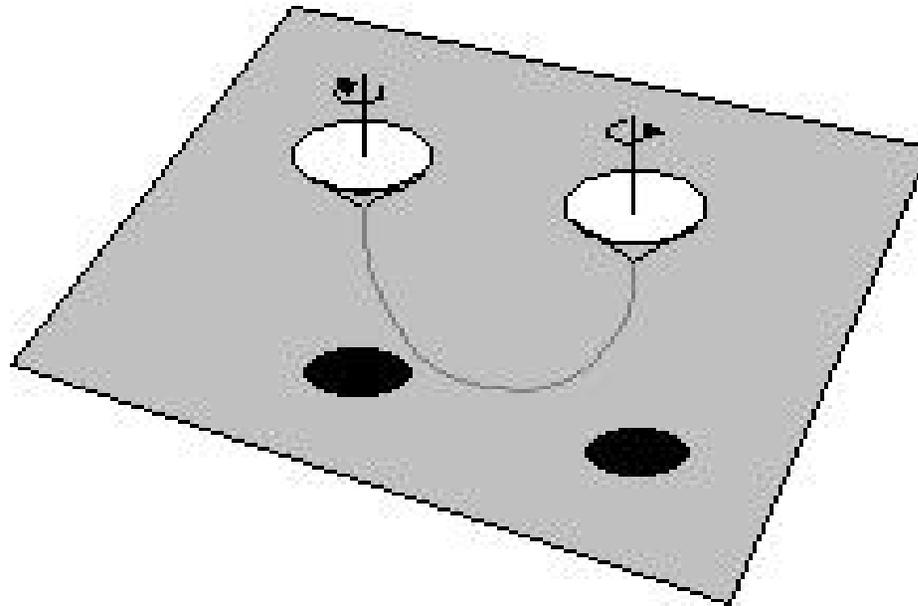
- **To the swimming pool**

# Topological Defects in a swimming pool



# Optical Properties of Falaco Solitons

**Dimpled indentations in free surface**

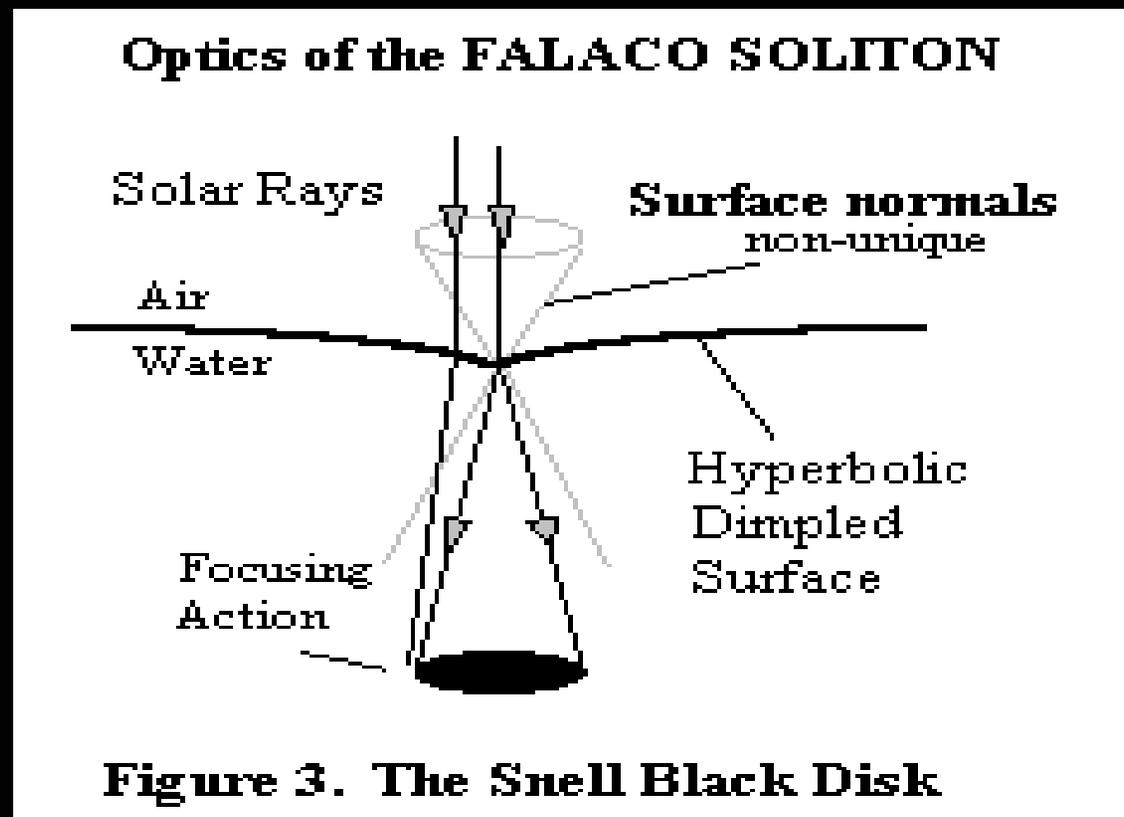


**Black Spots Refracted on Pool Floor**

**Figure 2. The Falaco Soliton**

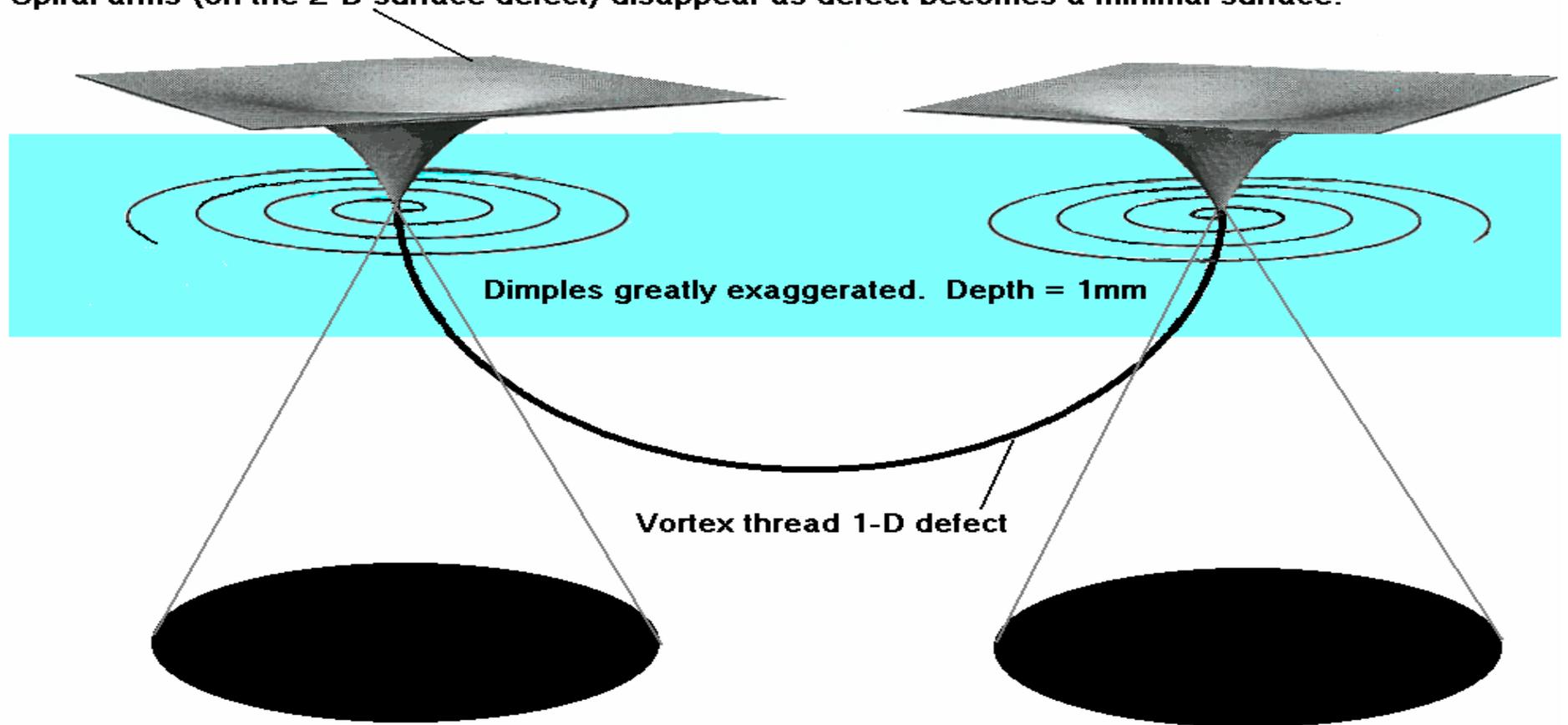
# Optical Properties of Falaco Solitons

- Snell Refraction from a rotational vertex.



# CGL theory applied to Falaco Solitons

Spiral arms (on the 2-D surface defect) disappear as defect becomes a minimal surface.



Black Holes by Snell Refraction from Minimal Surface

## Falaco Solitons

Adapted from O. Tornkvist and E. Schroeder, PRL, 78, 10 1997 p.1980

# Topology of Falaco Solitons



**String (vortex)** defect related to GPL theory.

**Spiral arms** obvious during formation phase indicate end caps are related to CGL theory.

**Stability and confinement** of 2D topological defect end caps produced by 1D defect string.

# Topology of Falaco Solitons



- **The topological defects and CGL analysis do not depend upon a Low Temperature !!!**
- **The Falaco Soliton is a long lived state far from equilibrium (Pfaff Dim=3), produced in a dissipative media by irreversible processes, (Pfaff Dim=4).**

# Motivation from Falaco Solitons



- **Falaco Solitons** are universal topological defects that can be created easily at a macroscopic level - without low temperature.
- **Falaco Solitons** mimic microscopic quarks with a confinement problem.
- **Falaco Solitons** mimic cosmological strings on a space-time manifold.

# Topological Cosmology

Conjectures 1987 - 2003

- 
- **Are the thin spiral arm galaxies formed on discontinuity layers, as are the endcaps of the Falaco solitons?**
  - **Are the spiral arm galaxies M31 and the Milky Way confined by a singular, globally stabilizing thread connecting the dimpled vertex points of the galactic cores - as is observed in the Falaco Solitons?**

# Cosmic Strings from Hubble ?



**Interacting Galaxies NGC 1409 and NGC 1410**

**HST • WFPC2**

NASA and W. Keel (University of Alabama) • STScI-PRC01-02